DOMINION FINANCIAL
CONSULTANTS

Privacy Notice

It is necessary for us to collect information from our clients regarding their finances, employment, health, and other personal areas in order for us to conduct all aspects of financial planning and investment management (including advising and processing of transactions). We also may use information collected from our clients to offer them related services that we provide.

Dominion Financial Consultants strongly believes in protecting the confidentiality and security of information we collect about our clients. All information provided by our clients is treated as confidential. However, we may disclose information that we believe is necessary for the conduct of our agreed-upon work (e.g., to conduct research or execute a transaction), or in circumstances in which disclosure is required by law or other regulatory authority. We do not make disclosures of client information to any other companies who, for example, may want to sell products or services to our clients. Unless necessary to our agreed-upon work or required by law, we seek client permission for disclosure.

Employees of Dominion Financial Consultants are required to comply with our established policies and may access information only as needed to offer or administer our services. We also maintain physical, electronic and procedural safeguards required by law to protect confidential client information.

Upon written request, we will make available for client review the information we have collected about them. Once notified that any information is incorrect, we will be glad to review and correct it.

In addition to any other privacy notice we may provide, federal law requires us to provide this summary of our privacy policy to our clients once each year.